

# A Comparison of Wave Field Synthesis and Higher-Order Ambisonics with Respect to Physical Properties and Spatial Sampling

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Introduction Physical Foundations Spatial Sampling Illustrative Example Conclusions

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## Introduction

For high-resolution sound reproduction, based on a physical recreation of a desired wave field, two alternative approaches exist

- Wave Field Synthesis (WFS)
- Higher-order Ambisonics (HOA)

The commons and differences of both approaches in terms of their physical foundations and practical realization are not fully understood.

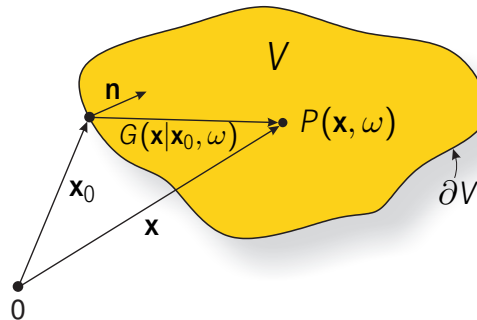
**Here: comparison of both approaches under the following assumptions**

- near-field compensated HOA without en-/decoding stage
- two-dimensional reproduction using a circular loudspeaker setup

## Fundamentals of Sound Field Reproduction

The Kirchhoff-Helmholtz integral provides the solution of the homogeneous wave equation with respect to inhomogeneous boundary conditions

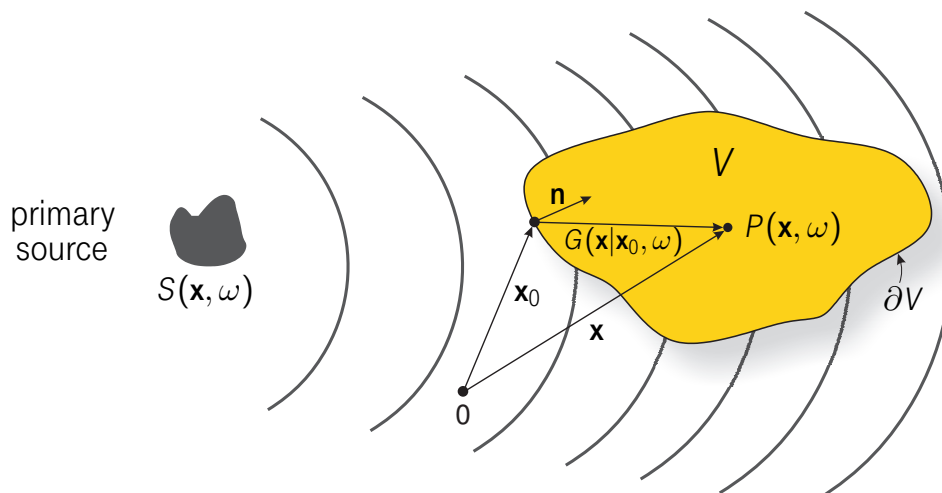
$$P(\mathbf{x}, \omega) = - \oint_{\partial V} \left( G(\mathbf{x}|\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} P(\mathbf{x}_0, \omega) - P(\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} G(\mathbf{x}|\mathbf{x}_0, \omega) \right) dS_0$$



## Fundamentals of Sound Field Reproduction

The field of a primary source  $S(\mathbf{x}, \omega)$  within the area  $V$  is uniquely given by its pressure and pressure gradient on the boundary  $\partial V$

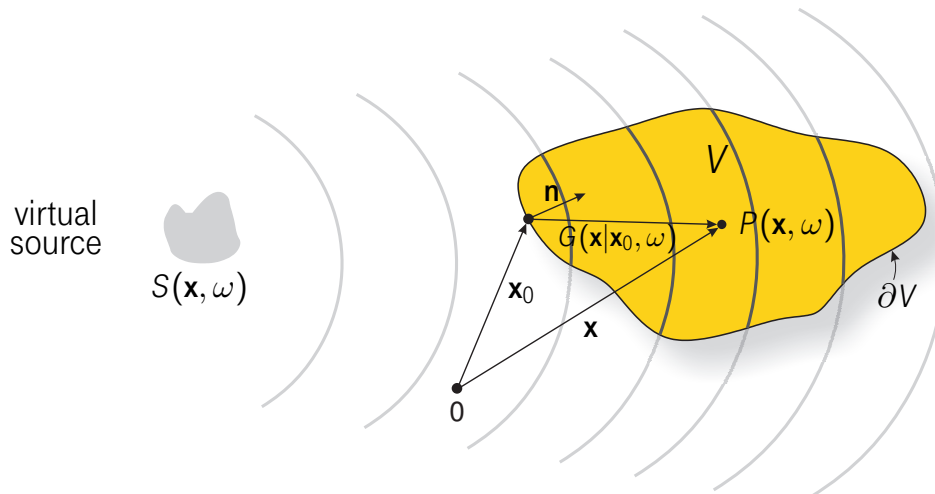
$$P(\mathbf{x}, \omega) = - \oint_{\partial V} \left( G(\mathbf{x}|\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} S(\mathbf{x}_0, \omega) - S(\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} G(\mathbf{x}|\mathbf{x}_0, \omega) \right) dS_0$$



## Fundamentals of Sound Field Reproduction

The Green's function and its gradient can be interpreted as (secondary) sources that generate the field of a virtual source  $S(\mathbf{x}, \omega)$  inside the listening area  $V$

$$P(\mathbf{x}, \omega) = - \oint_{\partial V} \left( G(\mathbf{x}|\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} S(\mathbf{x}_0, \omega) - S(\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} G(\mathbf{x}|\mathbf{x}_0, \omega) \right) dS_0$$



## Fundamentals of Sound Field Reproduction

The theoretical basis of sound field reproduction is given by the Kirchhoff-Helmholtz integral

$$P(\mathbf{x}, \omega) = - \oint_{\partial V} \left( G(\mathbf{x}|\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} S(\mathbf{x}_0, \omega) - S(\mathbf{x}_0, \omega) \frac{\partial}{\partial \mathbf{n}} G(\mathbf{x}|\mathbf{x}_0, \omega) \right) dS_0$$

The explicit form of the Green's function depends on the dimensionality

- two-dimensional reproduction → secondary line sources
- three-dimensional reproduction → secondary point sources

**The need for two types of secondary sources is postulated**

- monopole sources
- dipole sources

## Elimination of Dipole Secondary Sources

- halves the number of required secondary sources
- monopole sources can be realized quite well by loudspeakers

Different schemes to eliminate the dipole secondary sources

### 1 Modification of Green's function used in the Kirchhoff-Helmholtz integral

- assumption of a Neumann Green's function
- secondary sources may be hard to realize for complex geometries
- basis of Wave Field Synthesis

### 2 The 'Simple Source Approach'

- provides formulation for monopole only reproduction
- driving function is given by considering a disjunct interior/exterior problem
- basis of higher-order Ambisonics

## Wave Field Synthesis

Elimination of secondary dipole sources by using a Neumann Green's function

$$P(\mathbf{x}, \omega) = - \oint_{\partial V} \frac{\partial}{\partial \mathbf{n}} S(\mathbf{x}_0, \omega) G_N(\mathbf{x}|\mathbf{x}_0, \omega) dS_0$$

where  $G_N(\mathbf{x}|\mathbf{x}_0, \omega)$  is a Neumann Green's function with  $\frac{\partial}{\partial \mathbf{n}} G_N(\mathbf{x}|\mathbf{x}_0, \omega) \Big|_{\mathbf{x}_0 \in \partial V} = 0$ .

### Fundamentals of WFS

- application of Neumann Green's function for linear/planar secondary source contour, realization by secondary point sources
- sensible selection of active secondary sources for curved  $\partial V$
- no exact reproduction for non-linear/planar systems

# Higher-Order Ambisonics

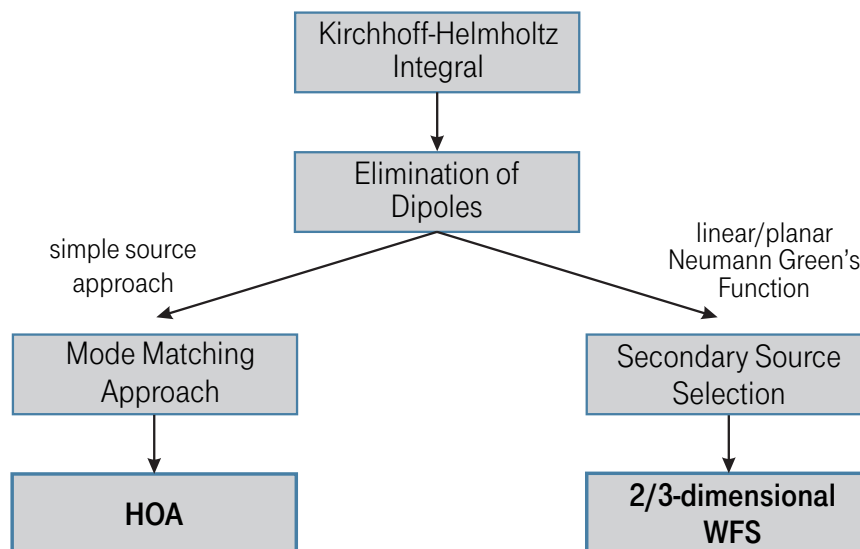
Application of the simple source approach for monopole only reproduction

$$P(\mathbf{x}, \omega) = \oint_{\partial V} D_{\text{HOA}}(\mathbf{x}_0, \omega) G_0(\mathbf{x}|\mathbf{x}_0, \omega) dS_0$$

## Fundamentals of HOA

- explicit solution of reproduction equation by method of moments
- choice of basis functions depends on underlying geometry
- solution is known to be not unique [Copley, 1967],  
no control over wave field for all frequencies (forbidden frequencies)
- exact reproduction in theory possible

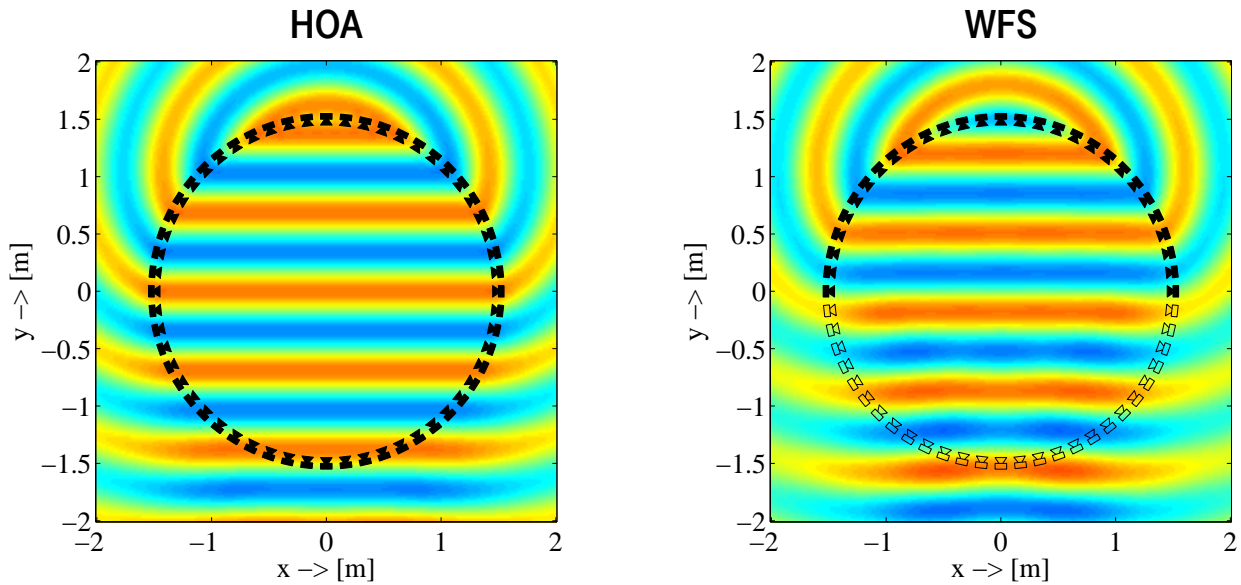
# Overview – Physical Foundations of HOA and WFS



- |                                                                                                                                                                                |                                                                                                                                                                      |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> <li>■ analytic driving functions only for regular geometries</li> <li>■ exact reproduction within entire listening area possible</li> </ul> | <ul style="list-style-type: none"> <li>■ analytic driving functions for arbitrary geometries</li> <li>■ exact reproduction only for linear/planar systems</li> </ul> |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## Example – Reproduced Wave Field WFS/HOA

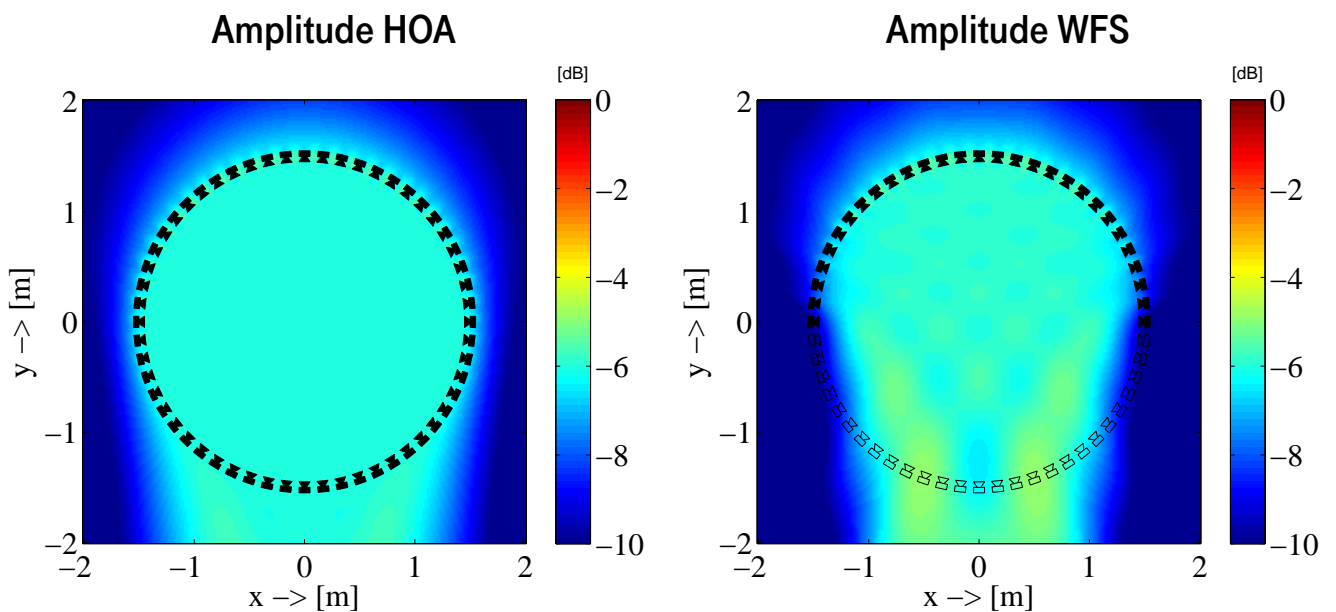
Reproduction of monochromatic plane wave with two-dimensional WFS/HOA



$$[R = 1.50 \text{ m}, N = 56, f_{pw} = 500 \text{ Hz}, \alpha_{pw} = 270^\circ]$$

## Example – Reproduced Wave Field WFS/HOA

Reproduction of monochromatic plane wave with two-dimensional WFS/HOA



$$[R = 1.50 \text{ m}, N = 56, f_{pw} = 500 \text{ Hz}, \alpha_{pw} = 270^\circ]$$

# Fourier Series Representation of Reproduced Wave Field

Unified description for a circular distribution of secondary line sources

$$P(\mathbf{x}, \omega) = \int_0^{2\pi} D(\alpha_0, R, \omega) G_{0,2D}(\mathbf{x} - \mathbf{x}_0, \omega) d\alpha_0$$

Fourier series with respect to  $\alpha$

$$P(\mathbf{x}, \omega) = \sum_{\nu} \dot{P}(\nu, r, \omega) e^{j\nu\alpha}$$

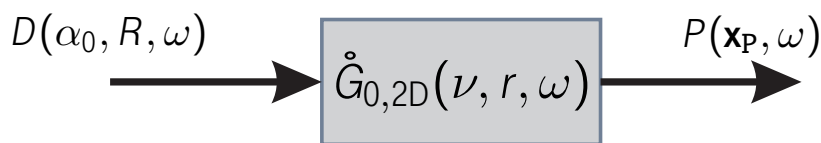
$$\dot{P}(\nu, r, \omega) = \dot{D}(\nu, R, \omega) \cdot \dot{G}_{0,2D}(\nu, r, \omega)$$

- Fourier series representation of (periodic) angular coordinate
- reproduced wave field is given by scalar multiplication in angular frequency domain

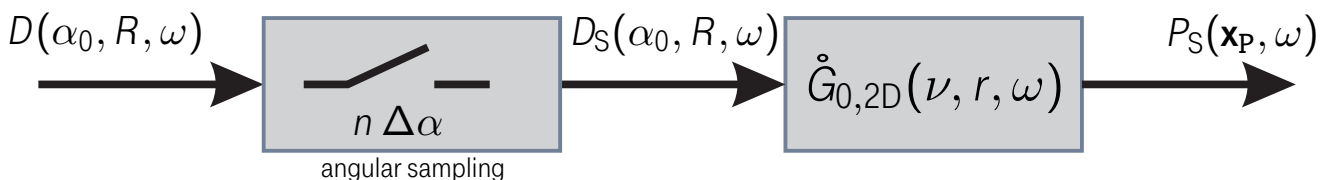


# Spatial Sampling

Continuous distribution of secondary sources



Spatially discrete distribution of secondary sources

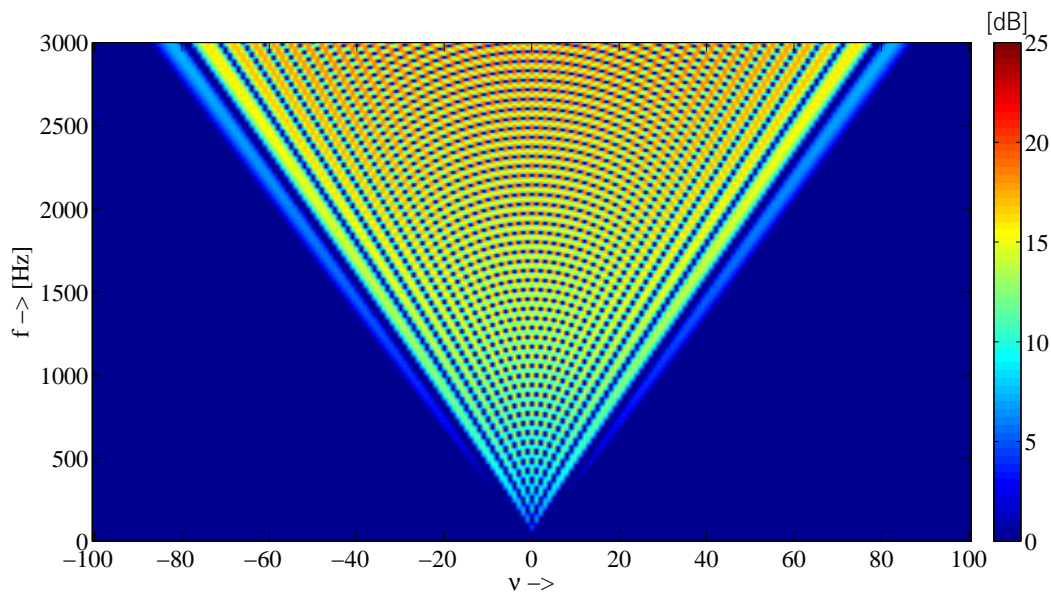


- sampling of driving function models secondary source sampling
- repetition of angular spectrum of driving function due to angular sampling
- similar to sampling and interpolation process



## Example – Characteristics of WFS Driving Function

Angular spectrum of a WFS driving function for a plane wave

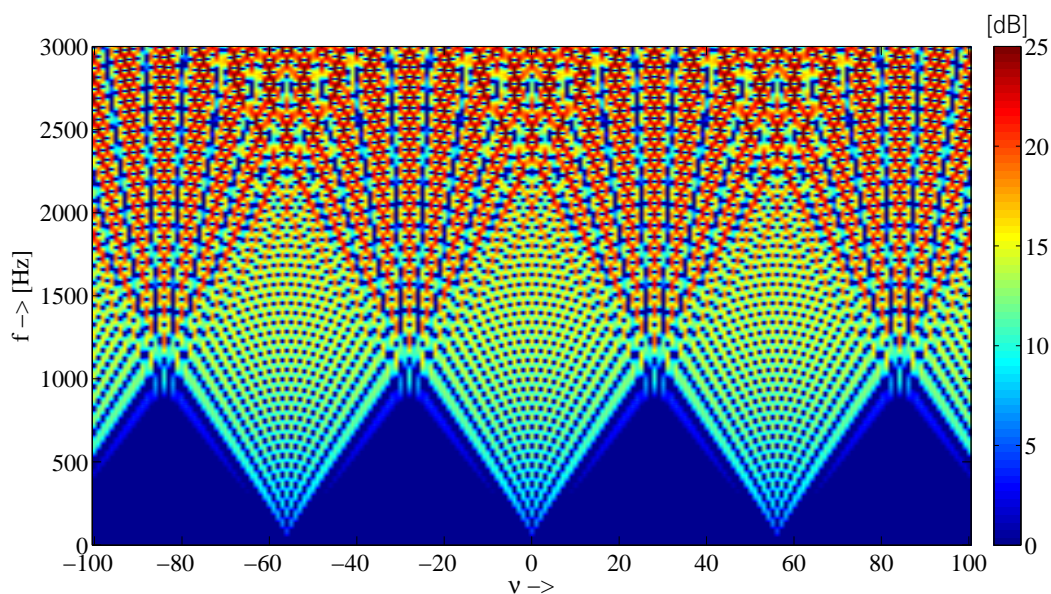


$$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 90^\circ]$$

- driving function is not band-limited in the angular frequency domain

## Example – Characteristics of WFS Driving Function

Angular spectrum of an **angular sampled** WFS driving function for a plane wave



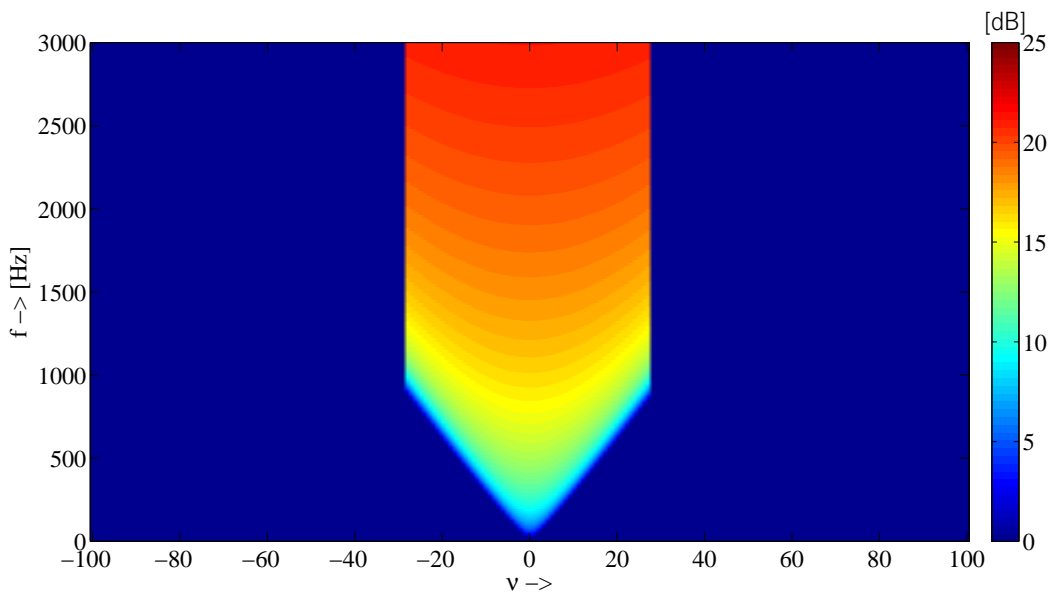
$$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 90^\circ]$$

- angular sampling leads to repetition of angular spectrum and overlaps



## Example – Characteristics of HOA Driving Function

Angular spectrum of a HOA driving function for a plane wave

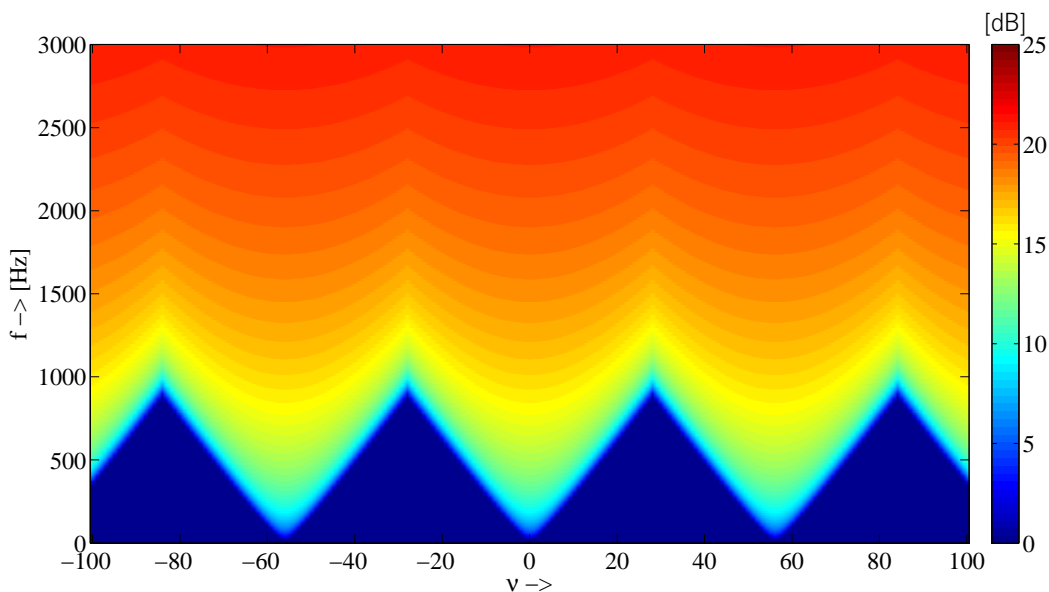


$$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 90^\circ]$$

- driving function is band-limited in the angular frequency domain

## Example – Characteristics of HOA Driving Function

Angular spectrum of an **angular sampled** HOA driving function for a plane wave

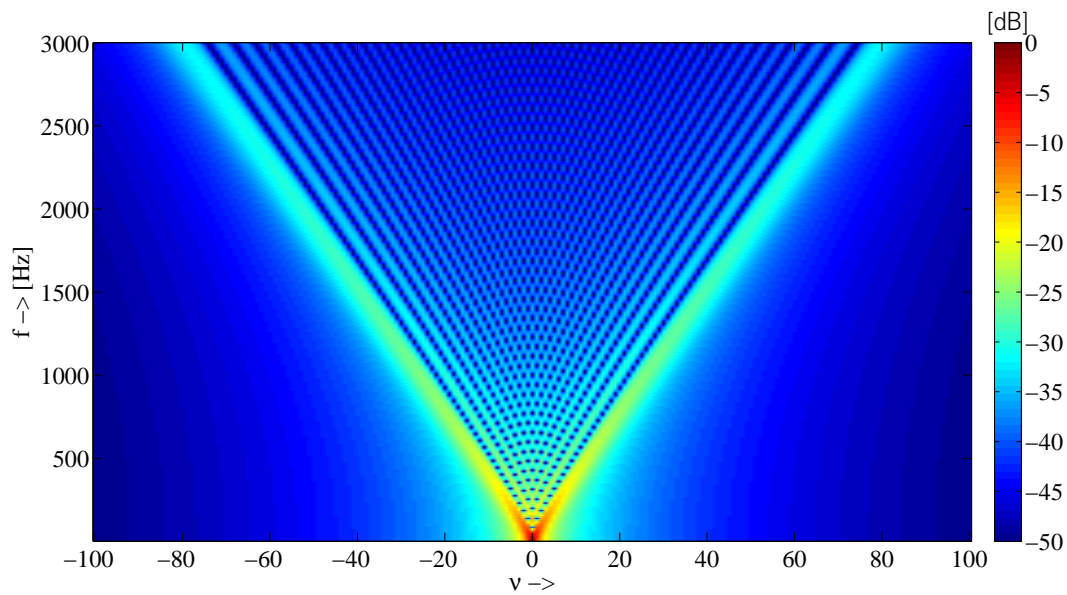


$$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 90^\circ]$$

- angular sampling leads to repetition of angular spectrum

## Example – Secondary Source Spectrum

Angular spectrum of secondary line sources for circular system



$$[R = 1.50 \text{ m}, r = 1.50 \text{ m}, N = 56, \alpha_{pw} = 90^\circ]$$

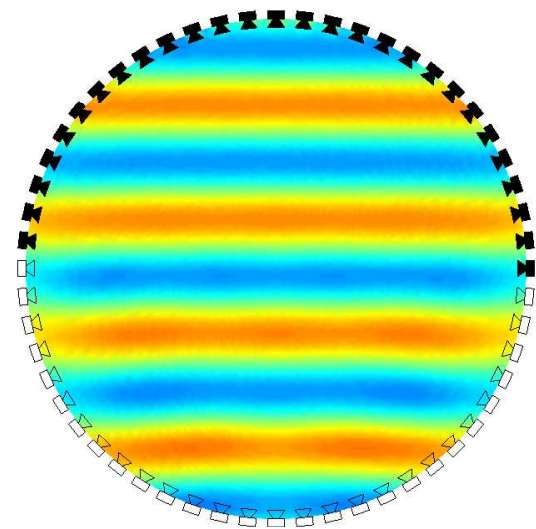
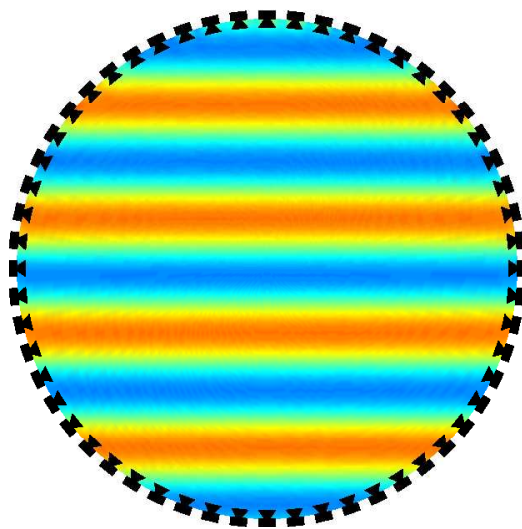
- spectrum is not band-limited in the angular frequency domain

## Example – Reproduced Wave Field HOA/WFS

Reproduction of monochromatic plane wave ( $f_{pw} = 500 \text{ Hz}$ )

HOA

WFS

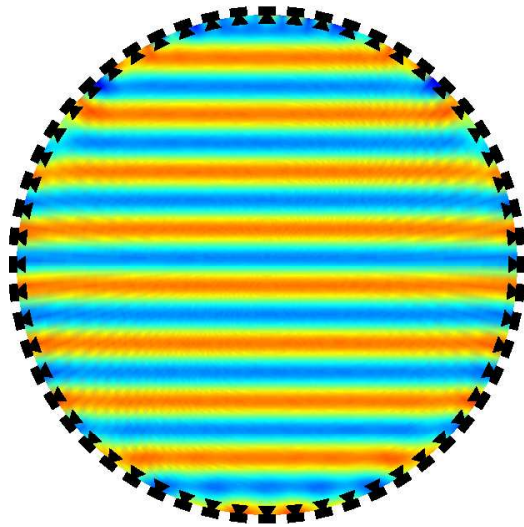


$$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$$

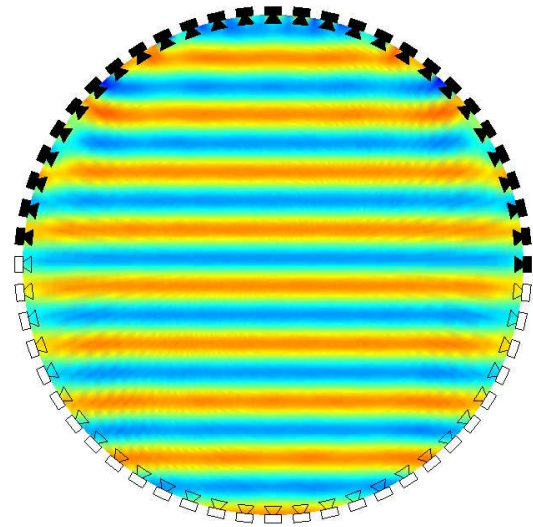
## Example – Reproduced Wave Field HOA/WFS

Reproduction of monochromatic plane wave ( $f_{pw} = 1000$  Hz)

HOA



WFS

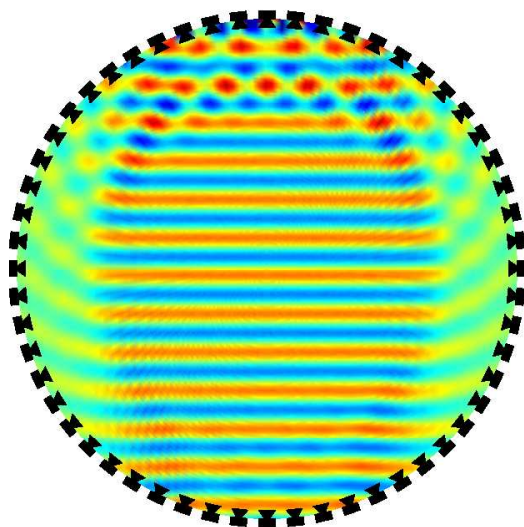


$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

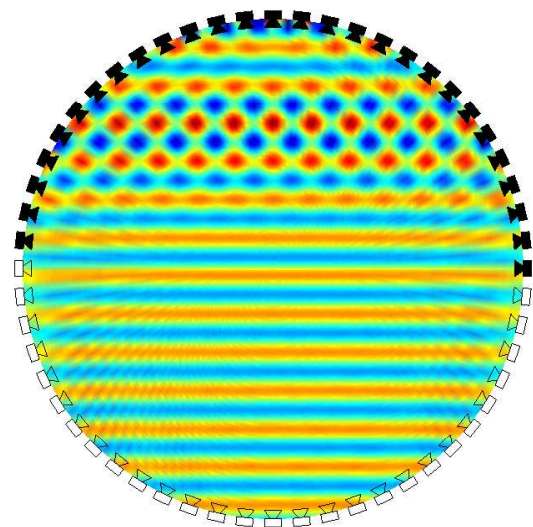
## Example – Reproduced Wave Field HOA/WFS

Reproduction of monochromatic plane wave ( $f_{pw} = 1500$  Hz)

HOA



WFS

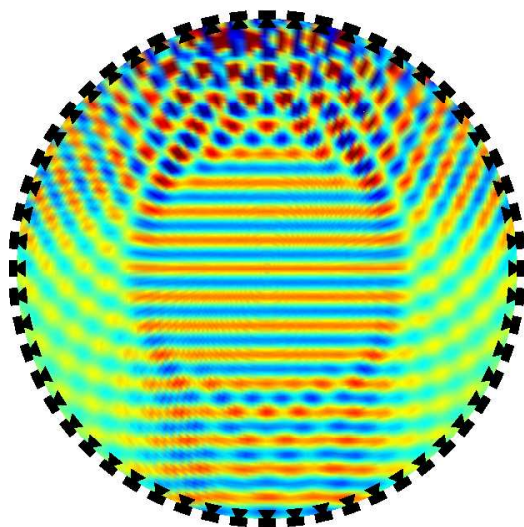


$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

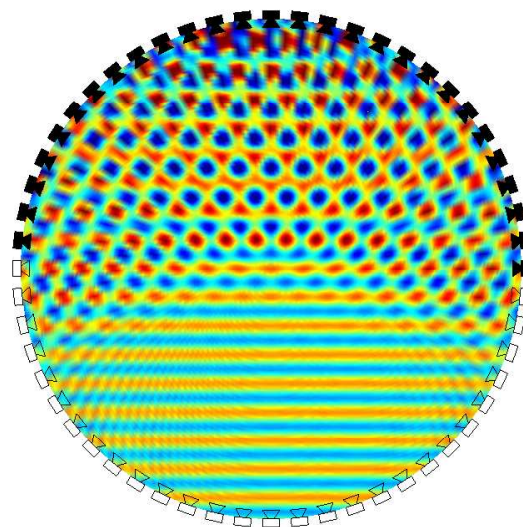
## Example – Reproduced Wave Field HOA/WFS

Reproduction of monochromatic plane wave ( $f_{pw} = 2000$  Hz)

HOA



WFS

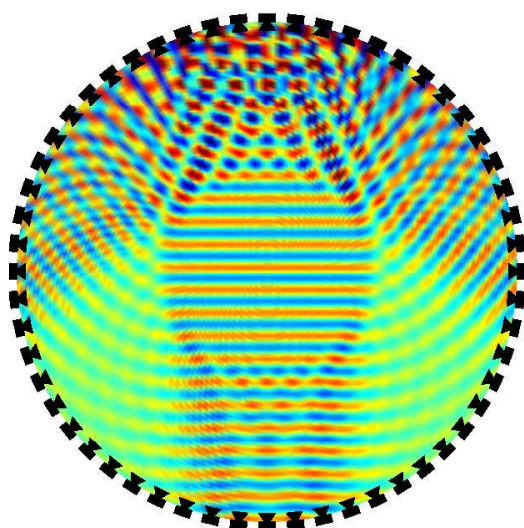


$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

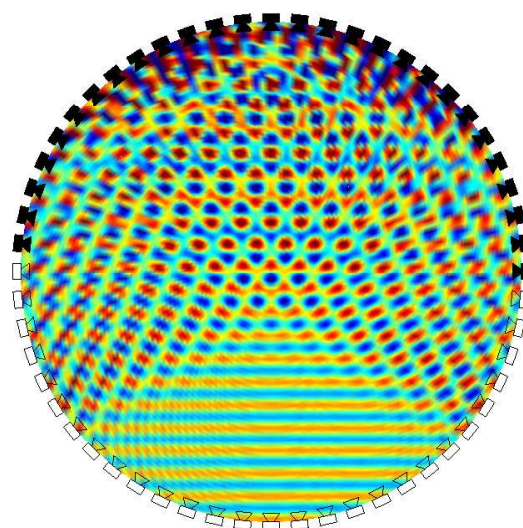
## Example – Reproduced Wave Field HOA/WFS

Reproduction of monochromatic plane wave ( $f_{pw} = 2500$  Hz)

HOA



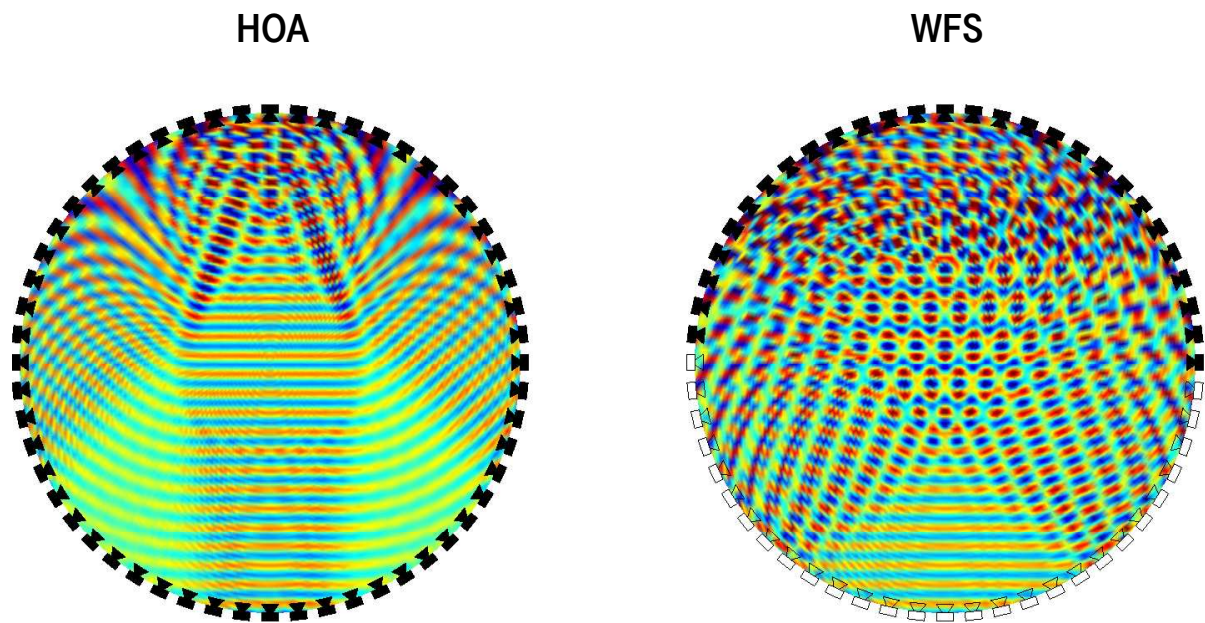
WFS



$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

## Example – Reproduced Wave Field HOA/WFS

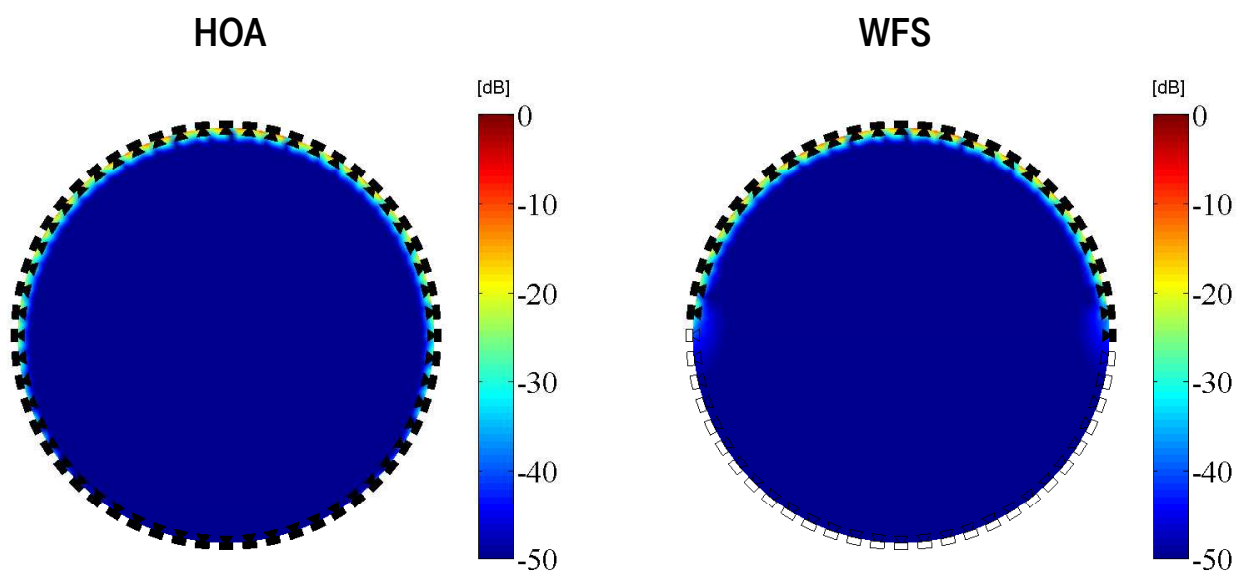
Reproduction of monochromatic plane wave ( $f_{pw} = 3000$  Hz)



$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

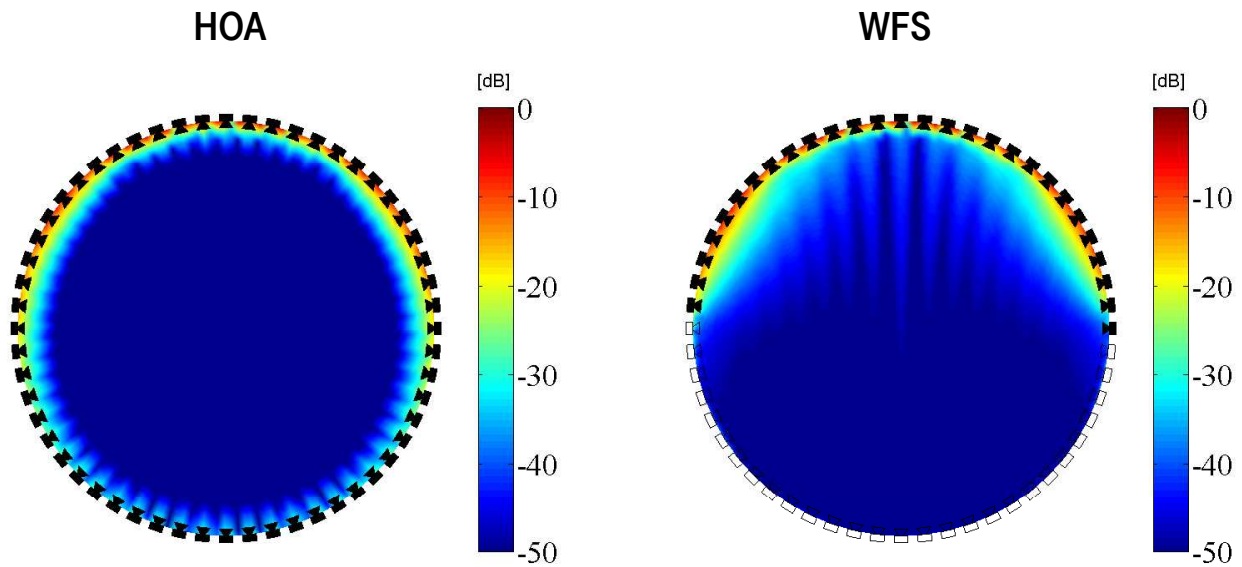
RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 500$  Hz)



$[R = 1.50$  m,  $N = 56$ ,  $\alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

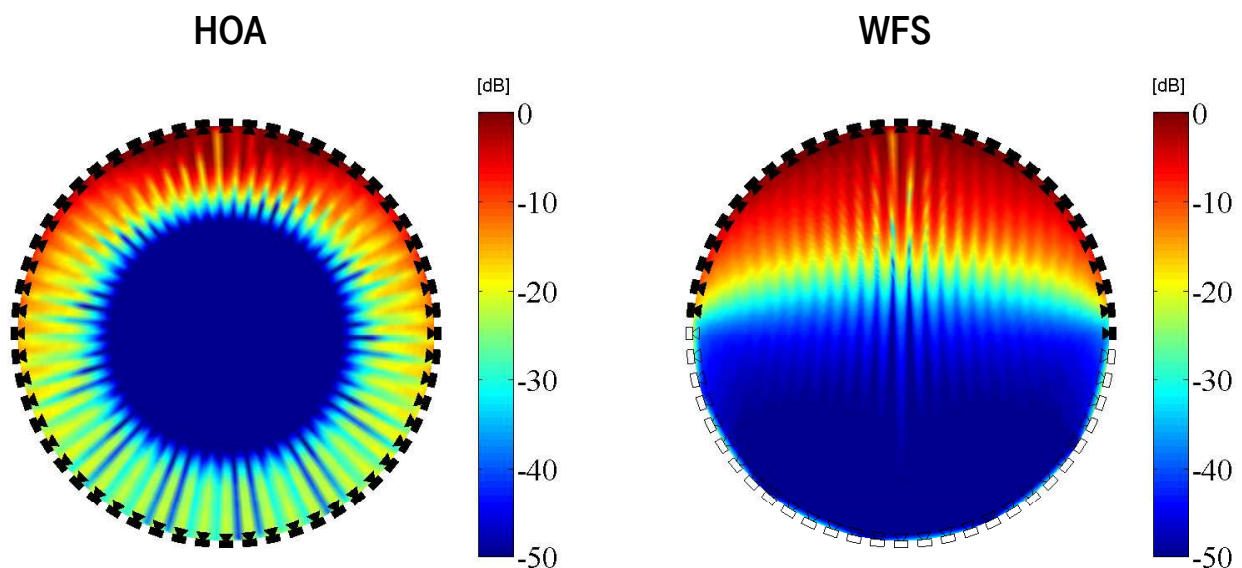
RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 1000$  Hz)



$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

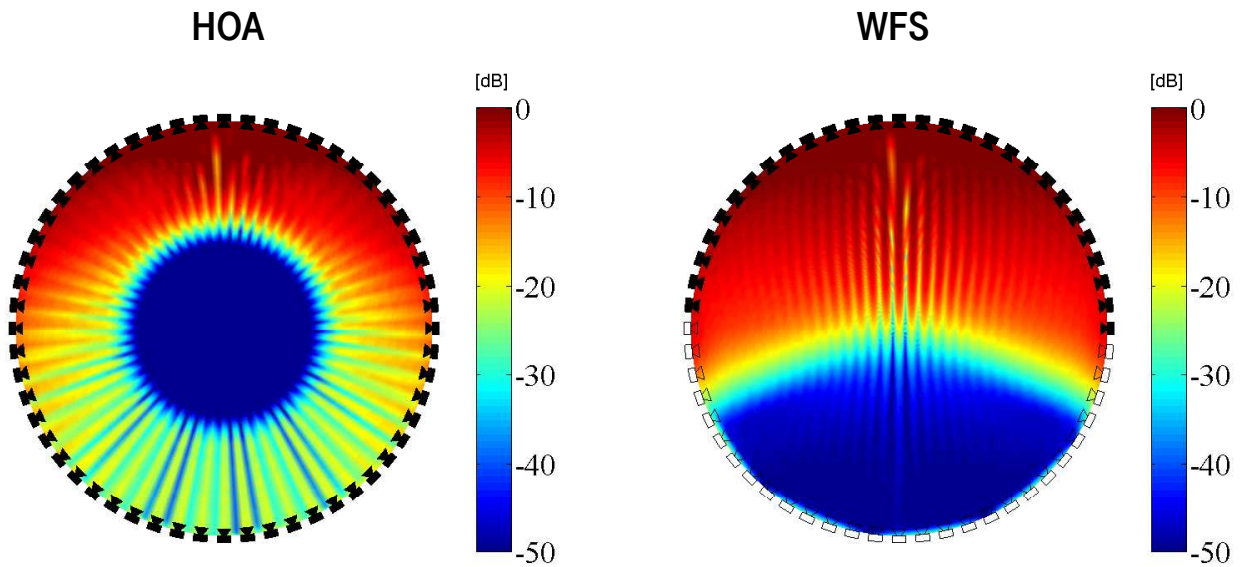
RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 1500$  Hz)



$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

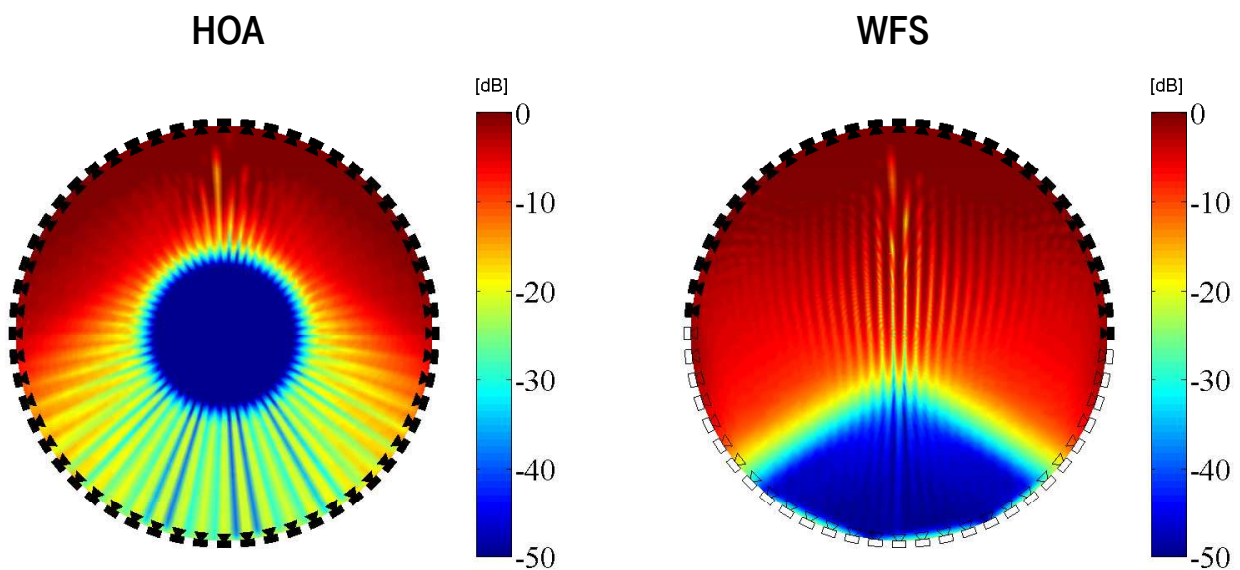
RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 2000$  Hz)



$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

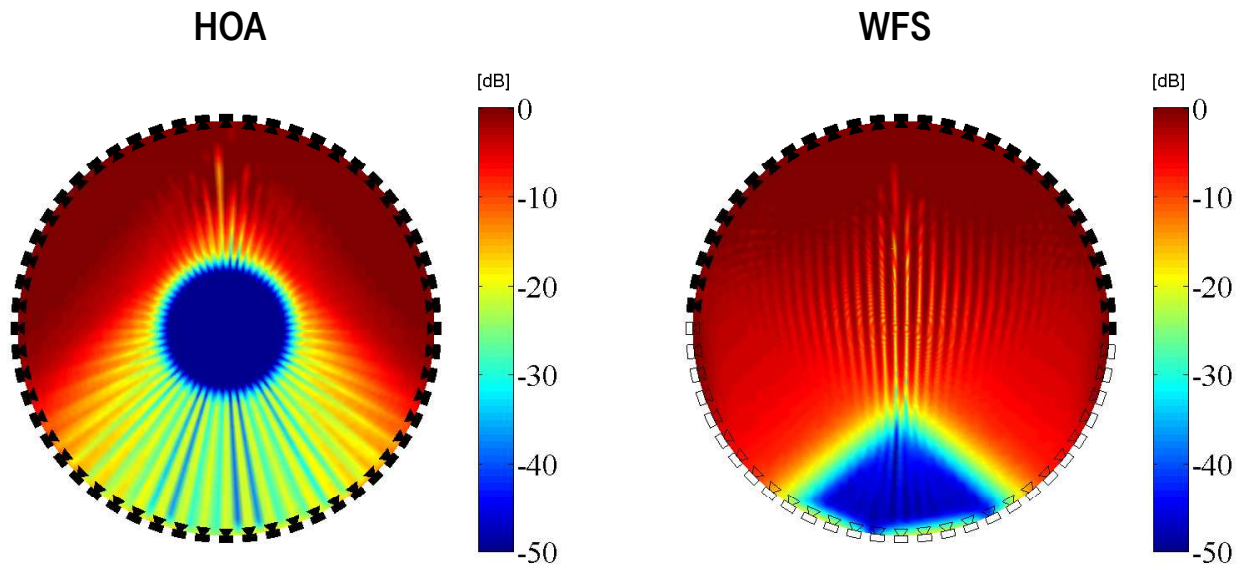
RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 2500$  Hz)



$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$

## Example – Reproduced Aliasing to Signal Ratio

RASR for reproduction of a bandlimited plane wave ( $b_{pw} = 3000$  Hz)



$[R = 1.50 \text{ m}, N = 56, \alpha_{pw} = 270^\circ]$

## Summary and Conclusions

This paper presents a comparison of the physical foundations of WFS and HOA, and a detailed investigation of the spatial sampling process and its artifacts.

### Main findings for WFS

- analytic driving functions for arbitrary geometries
- no exact reproduction for non-linear/planar geometries
- almost alias-free area is not at a constant position
- sampling artifacts exhibit irregular structures

### Main findings for HOA

- analytic driving functions only for simple geometries available
- exact reproduction within the listening area in theory possible
- suffers from non-uniqueness (forbidden frequencies)
- sampling artifacts exhibit quite regular structures